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PTO/SB/21 (05-03)

| | | U.S. Paten | t and Trademark Office | e; U.S. C | gh 04/30/2003, OMB 0651-0031 DEPARTMENT OF COMMERCE | |
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| er the Paperwork Reduction Act of 1995. | no oersons | Application Number | 09/992,957 | s it displa | avs a valid OMB control number. | |
| TRANSMITTAL | | Filing Date | 11/13/2001 | | | |
| FORM | | First Named Inventor | Hans Herweijer | | _ | |
| (to be used for all correspondence after initial fi | iling) | Art Unit | 1636 | | | |
| | | Examiner Name | Sullivan, Daniel M. | | | |
| Total Number of Pages in This Submission | 18 | Attorney Docket Number | Mirus.025.01 | | | |
| | ENCL | OSURES (Check all that | apply) | | | |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | | Drawing(s) icensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Ferminal Disclaimer Request for Refund CD, Number of CD(s) | Ap Of Ap (Ap (Ap Pro Sta | Group peal Cor Appeals peal Cor peal Nor opeal Nor oprietary | osure(s) (please | |
| · · · · · · · · · · · · · · · · · · · | TURE O | F APPLICANT, ATTORNI | EY, OR AGEN | Γ | | |
| Firm or Individual name Mark K. Johnson Signature 01/12/2005 | | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 703-872-9306 or deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| Typed or printed name Kirk Ekena | | | | | | |
| Signature Zul I | | | | Date | 01/12/2005 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/992,957 Confirmation No. 8989

Hans Herweijer, Jon A. Wolff, Aaron G. Loomis,

Vladimir S. Trubetskoy, Mary Kay Bates

Filed 11/13/2001

Art Unit 1636

Examiner Sullivan, Daniel M.

Docket No.: Mirus.025.01

For: Methods for Genetic Immunization

Commissioner of Patents PO Box 1450 Alexandria, VA 2231-1450

INFORMATIONAL STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.56, applicant hereby calls to the attention of the Patent and Trademark Office the publications listed on the attached PTO 1449. No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Non-Patent Publications

Hurpin C et al. "The mode of presentation and route of administration are critical for the induction on immune responses to p53 and antitumor immunity." Vaccine 1998 Vol. 16 No. 2/3 pp. 208-215.

Jiang W et al. "Nucleic acid immunization protects dogs against challenge with virulent canine parvovirus." Vaccine 1998 Vol. 16 No. 6 pp. 601-607.

Applicant respectfully requests that these publications be expressly considered during the prosecution of this application and made of record herein and appear among the 'References Cited' on any patent to issue herefrom.

Respectfully submitted,

Mark K\(\Lambda\) Johnson Reg. No. 35,909

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I hereby certify that this correspondence is being sent by United States Postal Service express mail to: Commissioner for Patents, PO Box 1450, Alexandria, VA

22313-1450 on: Jan 12, 2005.

| INFORMATION BIGGLOOUSE | Application Number | 09/992,957 |
|-------------------------|------------------------|---------------------|
| INFORMATION DISCLOSURE | Filing Date | 11/13/2001 |
| STATEMENT BY APPLICANT | First Named Inventor | Hans Herweijer |
| 1 12 2015 Farm PTO 1449 | Art Unit | 1636 |
| JAN 123 | Examiner Name | Sullivan, Daniel M. |
| Therets True 1 of 1 | Attorney Docket Number | Mirus.025.01 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number Number – Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevent Passages or Relevant Figures Appear |
|----------------------|-------------|-------------------------------------|--------------------------------|--|---|
| | | US- | | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | | Т |
|-------------------|-------------|--|---|
| | | Hurpin C et al. "The mode of presentation and route of administration are critical for the induction on immune responses to p53 and antitumor immunity." Vaccine 1998 Vol. 16 No. 2/3 pp. 208-215. | |
| | | Jiang W et al. "Nucleic acid immunization protects dogs against challenge with virulent canine parvovirus." Vaccine 1998 Vol. 16 No. 6 pp. 601-607. | |

| Examiner Signature | Date Considered | |
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